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HDP/SB/21 based on PTO/SB/21 (08-00)

13

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

09/965,685

Filing Date 09/27/2001

First Named Inventor HASEGAWA et al

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket Number 9319S-000287

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers  
(for an Application)

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s)  
(please identify below):

Certificate of Translation with English Translation of Application; Drawings (Figs. 1-7); Substitute Specification with Marked-up Specification; Preliminary Amendment; Request for Corrected Official Filing Receipt; and Return Receipt Postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Harness, Dickey & Pierce, P.L.C.

Attorney Name  
G. Gregory Schivley / Bryant E.  
Wade

Reg. No.  
27,382 / 40,344

Signature

Date

December 14, 2001

### CERTIFICATE OF MAILING/TRANSMISSION

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Typed or printed name

G. Gregory Schivley / Bryant E. Wade

Signature

Date

December 14, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1000)

Complete if Known	
Application Number	09/965,685
Filing Date	09/27/2001
First Named Inventor	HASEGAWA et al
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	9319S-000275

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">08-0750</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Harness, Dickey &amp; Pierce, P.L.C.</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>Surcharge - late filing fee or oath</td><td>130</td><td>130</td></tr> <tr><td>127</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>50</td><td>227</td></tr> <tr><td>139</td><td>Non-English specification</td><td>130</td><td>130</td></tr> <tr><td>147</td><td>For filing a request for reexamination</td><td>2,520</td><td>2,520</td></tr> <tr><td>112</td><td>Requesting publication of SIR prior to Examiner action</td><td>920*</td><td>920*</td></tr> <tr><td>113</td><td>Requesting publication of SIR after Examiner action</td><td>1,840*</td><td>1,840*</td></tr> <tr><td>115</td><td>Extension for reply within first month</td><td>110</td><td>215</td></tr> <tr><td>116</td><td>Extension for reply within second month</td><td>400</td><td>216</td></tr> <tr><td>117</td><td>Extension for reply within third month</td><td>920</td><td>217</td></tr> <tr><td>118</td><td>Extension for reply within fourth month</td><td>1,440</td><td>218</td></tr> <tr><td>128</td><td>Extension for reply within fifth month</td><td>1,960</td><td>228</td></tr> <tr><td>119</td><td>Notice of Appeal</td><td>320</td><td>219</td></tr> <tr><td>120</td><td>Filing a brief in support of an appeal</td><td>320</td><td>220</td></tr> <tr><td>121</td><td>Request for oral hearing</td><td>280</td><td>221</td></tr> <tr><td>138</td><td>Petition to institute a public use proceeding</td><td>1,510</td><td>138</td></tr> <tr><td>140</td><td>Petition to revive – unavoidable</td><td>110</td><td>240</td></tr> <tr><td>141</td><td>Petition to revive – unintentional</td><td>1,280</td><td>241</td></tr> <tr><td>142</td><td>Utility issue fee (or reissue)</td><td>1,280</td><td>242</td></tr> <tr><td>143</td><td>Design issue fee</td><td>460</td><td>243</td></tr> <tr><td>144</td><td>Plant issue fee</td><td>620</td><td>244</td></tr> <tr><td>122</td><td>Petitions to the Commissioner</td><td>130</td><td>122</td></tr> <tr><td>123</td><td>Processing fee under 37 CFR 1.17 (q)</td><td>50</td><td>123</td></tr> <tr><td>126</td><td>Submission of Information Disclosure Stmt</td><td>180</td><td>126</td></tr> <tr><td>581</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td><td>581</td></tr> <tr><td>146</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>740</td><td>246</td></tr> <tr><td>149</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>740</td><td>249</td></tr> <tr><td>179</td><td>Request for Continued Examination (RCE)</td><td>279</td><td>370</td></tr> <tr><td>169</td><td>Request for expedited examination of a design application</td><td>900</td><td>169</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td colspan="2">SUBTOTAL (3)</td> <td>(\$ 260)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Fee Description	Small Entity Fee Code (\$)	Fee Paid	105	Surcharge - late filing fee or oath	130	130	127	Surcharge - late provisional filing fee or cover sheet	50	227	139	Non-English specification	130	130	147	For filing a request for reexamination	2,520	2,520	112	Requesting publication of SIR prior to Examiner action	920*	920*	113	Requesting publication of SIR after Examiner action	1,840*	1,840*	115	Extension for reply within first month	110	215	116	Extension for reply within second month	400	216	117	Extension for reply within third month	920	217	118	Extension for reply within fourth month	1,440	218	128	Extension for reply within fifth month	1,960	228	119	Notice of Appeal	320	219	120	Filing a brief in support of an appeal	320	220	121	Request for oral hearing	280	221	138	Petition to institute a public use proceeding	1,510	138	140	Petition to revive – unavoidable	110	240	141	Petition to revive – unintentional	1,280	241	142	Utility issue fee (or reissue)	1,280	242	143	Design issue fee	460	243	144	Plant issue fee	620	244	122	Petitions to the Commissioner	130	122	123	Processing fee under 37 CFR 1.17 (q)	50	123	126	Submission of Information Disclosure Stmt	180	126	581	Recording each patent assignment per property (times number of properties)	40	581	146	Filing a submission after final rejection (37 CFR § 1.129(a))	740	246	149	For each additional invention to be examined (37 CFR § 1.129(b))	740	249	179	Request for Continued Examination (RCE)	279	370	169	Request for expedited examination of a design application	900	169	Other fee (specify) _____				*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)		(\$ 260)
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<p>** or number previously paid, if greater; For Reissues, see above</p>																																																																																																																																						

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	G. Gregory Schreyer / Bryant E. Wade	Registration No. Attorney/Agent	27,362 / 40,344	Telephone 248-641-1600
Signature				Date December 14, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,685	09/27/2001	Satoshi Hasegawa	9319S-000275

## CONFIRMATION NO. 2845

27572  
HARNESS, DICKEY & PIERCE, PLC  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303

## FORMALITIES LETTER



\*OC000000007061806\*

Date Mailed: 11/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

01/11/2002 ANABII 00000103 09965685

01 FC:101	740.00 DP
02 FC:105	130.00 DP
03 FC:139	130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## CERTIFICATE

I, Naomi Yokoyama, residing at 12-8-402, Yokoyamadai 2-chome, Sagamihara-shi, Kanagawa-ken, Japan, hereby certify that I am the translator of the attached document and certify that the following is a true translation to the best of my knowledge and belief.

Naomi Yokoyama September 12, 2001

Signature of Translator

Date